

**LSU**  
**Deposit and Collateral Report**  
**For the Quarter Ended December 31, 2016**

Deposits Requiring Posting of Collateral:	Demand Deposit Sweep/MMA Repurchase	Certificates of Deposit	Total Deposits in Financial Institutions	Collateral (1)
<b>LSU A &amp; M and LSU Health New Orleans</b>				
Chase - LSU, Health Plan Premium	\$ 63,732,567		\$ 63,732,567	\$ 116,854,819
Chase-HSCNO	27,965,473	\$ 18,600	27,984,073	36,359,244
Capital One - LSU-A	2,351,172		2,351,172	2,101,172
St. Landry Bank - LSU-E	1,016,290		1,016,290	996,111
<b>Total</b>	<b>\$ 95,065,502</b>	<b>\$ 18,600</b>	<b>\$ 95,084,102</b>	<b>\$ 156,311,346</b>
<b>LSU Health Shreveport</b>				
US Bank	\$ 4,485		\$ 4,485	\$ 250,000
Regions Bank-EA Conway	542,995		542,995	548,855
Regions Bank-Huey P Long	377,510		377,510	380,060
Regions Bank-Shreveport	51,640,360		51,640,360	59,739,587
Regions Bank-Shreveport Endowment Fds	6,932,764		6,932,764	-
<b>Total</b>	<b>\$ 59,498,114</b>	<b>\$ -</b>	<b>\$ 59,498,114</b>	<b>\$ 60,918,502</b>
<b>LSU HCSD</b>				
JP Morgan Chase (HCSD)	\$ 78,215,039		\$ 78,215,039	\$ 79,815,537
Capital One (MCLNO Trust Fund)	4,592,416		4,592,416	9,468,539
<b>Total</b>	<b>\$ 82,807,455</b>	<b>\$ -</b>	<b>\$ 82,807,455</b>	<b>\$ 89,284,076</b>
<b>LSU - Shreveport</b>				
Campus Federal Credit Union		\$ 100,213	\$ 100,213	\$ 250,000
Regions Bank				
Capital One (Hibernia National Bank)	\$ 3,170,256		3,170,256	8,692,800
<b>Total</b>	<b>\$ 3,170,256</b>	<b>\$ 100,213</b>	<b>\$ 3,270,469</b>	<b>\$ 8,942,800</b>
<b>Total Requiring Collateral</b>	<b>\$ 240,541,327</b>	<b>\$ 118,813</b>	<b>\$ 240,660,140</b>	<b>\$ 315,456,724</b>
<b>Deposits In Trust or Federal Obligations Not Requiring Collateral</b>				
Federated Money Markets	\$ 40,025,842		\$ 40,025,842	
Federated Funds-Treas. Oblig. (2)	11,455		11,455	
<b>Total</b>	<b>\$ 40,037,297</b>	<b>\$ -</b>	<b>\$ 40,037,297</b>	
<b>Total Deposits</b>	<b>\$ 280,578,624</b>	<b>\$ 118,813</b>	<b>\$ 280,697,437</b>	

(1) Collateral amounts include FDIC coverage of \$250,000 on Demand Deposits, \$250,000 on CD's and \$250,000 by the National Credit Union Share Insurance Fund on deposits with Campus Federal Credit Union.

(2) One Group & Federated Funds are no-load, open ended mutual funds investing in U.S. Treasury obligations.

LSU  
Investment Summary  
For the Quarter Ended December 31, 2016

Fund Description	As of 7/1/2016		As of 9/30/2016		As of 12/31/2016		As of 3/31/2017		As of 06/30/2017	
	Value	Cost	Market Value	Cost	Market Value	Cost	Market Value	Cost	Market Value	
<b>Current Funds</b>										
Cash/Sweeps	\$220,965,188	\$298,452,168	\$298,452,168	\$229,016,147	\$229,016,147	\$0	\$0	\$0	\$0	
Money Market Accounts/Repos (A)	\$2,007,708	\$7,137,665	\$7,137,665	\$7,094,845	\$7,094,845	\$0	\$0	\$0	\$0	
Certificates of Deposit	\$100,213	\$100,213	\$100,213	\$0	\$0	\$0	\$0	\$0	\$0	
Treasury Notes	\$12,142,653	\$11,546,211	\$12,038,838	\$13,549,106	\$13,531,043	\$0	\$0	\$0	\$0	
CMO's	\$614,148	\$444,892	\$447,846	\$295,967	\$297,432	\$0	\$0	\$0	\$0	
Agency Securities (B)	\$91,221,542	\$104,166,209	\$106,777,636	\$129,520,232	\$127,520,554	\$0	\$0	\$0	\$0	
Mortgaged Backed Securities	\$37,344,568	\$35,034,733	\$35,800,847	\$33,785,445	\$34,007,628	\$0	\$0	\$0	\$0	
Equity Securities (C)	\$61,043	\$0	\$68,148	\$0	\$82,632	\$0	\$0	\$0	\$0	
Bond Mutual Funds	\$45,093,538	\$46,697,531	\$45,023,968	\$46,697,531	\$43,740,771	\$0	\$0	\$0	\$0	
Municipal Bonds (E)	\$60,025,003	\$61,402,164	\$62,817,171	\$86,580,039	\$85,214,042	\$0	\$0	\$0	\$0	
Corporate Bonds (D)	\$181,950,335	\$178,919,146	\$183,946,167	\$180,149,776	\$180,877,487	\$0	\$0	\$0	\$0	
<b>Total</b>	<b>\$651,525,939</b>	<b>\$743,900,932</b>	<b>\$752,610,667</b>	<b>\$726,689,088</b>	<b>\$721,382,581</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>Endowment Funds</b>										
Cash/Sweeps	\$6,930,453	\$6,931,481	\$6,931,481	\$6,932,764	\$6,932,764	\$0	\$0	\$0	\$0	
Money Market Accounts/Repos (A)	\$0	\$1,440,718	\$1,440,718	\$603,750	\$603,750	\$0	\$0	\$0	\$0	
Certificates of Deposit	\$18,600	\$18,600	\$18,600	\$18,600	\$18,600	\$0	\$0	\$0	\$0	
Agency Securities (B)	\$5,602,078	\$4,978,944	\$5,068,759	\$5,969,659	\$5,969,885	\$0	\$0	\$0	\$0	
Mortgaged Backed Securities	\$1,319,316	\$1,133,095	\$1,149,500	\$1,131,649	\$1,133,459	\$0	\$0	\$0	\$0	
Equity Securities (C)	\$9,730,180	\$6,171,551	\$10,054,907	\$6,171,551	\$10,305,270	\$0	\$0	\$0	\$0	
Mutual Funds	\$49,429,471	\$51,026,217	\$54,685,188	\$51,754,304	\$54,191,787	\$0	\$0	\$0	\$0	
Municipal Bonds (E)	1,256,822	1,153,189	\$1,176,339	\$1,137,890	\$1,125,955	\$0	\$0	\$0	\$0	
Corporate Bonds (D)	7,404,973	\$6,547,513	\$6,920,005	\$6,545,198	\$6,710,008	\$0	\$0	\$0	\$0	
<b>Total</b>	<b>\$81,691,893</b>	<b>\$79,401,308</b>	<b>\$87,445,497</b>	<b>\$80,265,365</b>	<b>\$86,991,478</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>Other Funds</b>										
Cash/Sweeps	\$4,228,577	\$4,369,228	\$4,369,228	\$4,592,416	\$4,592,416	\$0	\$0	\$0	\$0	
Money Market Accounts/Repos (A)	\$102,033,060	\$72,206,517	\$72,206,517	\$32,427,460	\$32,427,460	\$0	\$0	\$0	\$0	
Treasury Notes	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Agency Securities (B)	\$7,876,330	\$7,215,695	\$7,809,736	\$946,267	\$974,065	\$0	\$0	\$0	\$0	
Equity Securities (C)	\$464,950	\$0	\$432,909	\$0	\$447,690	\$0	\$0	\$0	\$0	
US Gov Related Securities	\$16,295	\$13,812	\$13,812	\$11,455	\$11,455	\$0	\$0	\$0	\$0	
<b>Total</b>	<b>\$114,619,212</b>	<b>\$83,805,252</b>	<b>\$84,832,202</b>	<b>\$37,977,598</b>	<b>\$38,453,086</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>Grand Total</b>	<b>\$847,837,044</b>	<b>\$907,107,492</b>	<b>\$924,888,366</b>	<b>\$844,932,051</b>	<b>\$846,827,145</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>Deposits in Financial Institutions</b>										
Total Cash/Sweeps/MMA/Repos	\$336,164,986	\$390,537,777	\$390,537,777	\$280,667,382	\$280,667,382	\$2	\$0	\$0	\$0	
Total Certificates of Deposit	\$118,813	\$118,813	\$118,813	\$18,600	\$18,600	\$0	\$0	\$0	\$0	
US Gov Related Securities	\$16,295	\$13,812	\$13,812	\$11,455	\$11,455	\$0	\$0	\$0	\$0	
<b>Total Deposits</b>	<b>\$336,300,094</b>	<b>\$390,670,402</b>	<b>\$390,670,402</b>	<b>\$280,697,437</b>	<b>\$280,697,437</b>	<b>\$2</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>Other Investments</b>										
Treasury Notes	\$12,142,653	\$11,546,211	\$12,038,838	\$13,549,106	\$13,531,043	\$0	\$0	\$0	\$0	
CMO's	\$614,148	\$444,892	\$447,846	\$295,967	\$297,432	\$0	\$0	\$0	\$0	
Agency Securities (B)	\$104,699,950	\$116,360,848	\$119,656,131	\$136,436,158	\$134,464,504	\$0	\$0	\$0	\$0	
Mortgaged Backed Securities	\$38,663,884	\$36,167,828	\$36,950,347	\$34,917,094	\$35,141,087	\$0	\$0	\$0	\$0	
Equity Securities (C)	\$10,256,173	\$6,171,551	\$10,555,964	\$6,171,551	\$10,835,592	\$0	\$0	\$0	\$0	
Bond Mutual Funds	\$45,093,538	\$46,697,531	\$45,023,968	\$46,697,531	\$43,740,771	\$0	\$0	\$0	\$0	
Mutual Funds	\$49,429,471	\$51,026,217	\$54,685,188	\$138,334,343	\$139,405,829	\$0	\$0	\$0	\$0	
Municipal Bonds (E)	\$61,281,825	\$62,555,353	\$63,993,510	\$87,717,929	\$86,339,997	\$0	\$0	\$0	\$0	
Corporate Bonds (D)	\$189,355,308	\$185,466,659	\$190,866,172	\$186,694,974	\$187,587,495	\$0	\$0	\$0	\$0	
<b>Total Other</b>	<b>\$511,536,950</b>	<b>\$516,437,090</b>	<b>\$534,217,964</b>	<b>\$650,814,653</b>	<b>\$651,343,750</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>Grand Total</b>	<b>\$847,837,044</b>	<b>\$907,107,492</b>	<b>\$924,888,366</b>	<b>\$931,512,090</b>	<b>\$932,041,187</b>	<b>\$2</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>LSU and Related Campuses</b>										
<b>Current Funds</b>										
Cash/Sweeps	\$122,925,583	\$186,325,891	\$186,325,891	\$95,065,502	\$95,065,502					
Money Market Accounts/Repos (A)	\$0	\$7,137,638	\$7,137,638	\$6,994,628	\$6,994,628					
Treasury Notes	\$12,142,653	\$11,546,211	\$12,038,838	\$11,550,399	\$11,531,312					
CMO's	\$614,148	\$444,892	\$447,846	\$295,967	\$297,432					
Agency Securities (B)	\$85,959,811	\$98,908,406	\$101,524,214	\$124,764,524	\$122,807,297					
Mortgaged Backed Securities	\$34,548,252	\$32,306,981	\$33,123,858	\$31,948,338	\$32,219,678					
Equity Securities (C)	\$61,043	\$0	\$68,148	\$0	\$82,632					
Bond Mutual Funds	\$45,093,538	\$46,697,531	\$45,023,968	\$46,697,531	\$43,740,771					
Municipal Bonds (E)	\$57,320,194	\$57,761,854	\$59,166,554	\$72,424,273	\$71,158,869					
Corporate Bonds (D)	\$179,633,824	\$176,622,208	\$181,649,287	\$174,345,013	\$175,082,042					
US Gov Related Securities										
<b>Total</b>	<b>\$538,299,046</b>	<b>\$617,751,612</b>	<b>\$626,506,242</b>	<b>\$564,086,175</b>	<b>\$558,980,163</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>Endowment Funds</b>										
Money Market Accounts/Repos (A)	\$0	\$1,440,718	\$1,440,718	\$603,750	\$603,750					
Certificates of Deposit	\$18,600	\$18,600	\$18,600	\$18,600	\$18,600					
Agency Securities (B)	\$5,602,078	\$4,978,944	\$5,068,759	\$5,969,659	\$5,969,885					
Mortgaged Backed Securities	\$1,319,316	\$1,133,095	\$1,149,500	\$1,131,649	\$1,133,459					
Equity Securities (C)	\$9,722,530	\$6,163,901	\$10,041,038	\$6,163,901	\$10,291,671					
Municipal Bonds	\$1,256,822	\$1,153,189	\$1,176,339	\$1,137,890	\$1,125,955					
Corporate Bonds (D)	\$7,404,973	\$6,547,513	\$6,920,005	\$6,545,198	\$6,710,008					
US Gov Related Securities										
<b>Total</b>	<b>\$25,324,319</b>	<b>\$21,435,960</b>	<b>\$25,814,959</b>	<b>\$21,570,647</b>	<b>\$25,853,328</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>Other Funds</b>										
Money Market Accounts/Repos (A)	\$64,520,398	\$32,135,509	\$32,135,509	\$23,948,813	\$23,948,813					
Treasury Notes										
Agency Securities (B)	\$7,876,330	\$7,215,695	\$7,809,736	\$946,267	\$974,065					
<b>Total</b>	<b>\$72,396,728</b>	<b>\$39,351,204</b>	<b>\$39,945,245</b>	<b>\$24,895,080</b>	<b>\$24,922,878</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	
<b>Grand Total</b>	<b>\$636,020,093</b>	<b>\$678,538,776</b>	<b>\$692,266,446</b>	<b>\$610,551,902</b>	<b>\$609,756,369</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	

**LSU**  
**Investment Summary**  
**For the Quarter Ended December 31, 2016**

Fund Description	As of 7/1/2016	As of 9/30/2016		As of 12/31/2016		As of 3/31/2017		As of 06/30/2017	
	Value	Cost	Market Value	Cost	Market Value	Cost	Market Value	Cost	Market Value
<b>LSU Health Shreveport</b>									
<b>Current Funds</b>									
Cash/Sweeps S.	\$52,626,700	\$59,745,276	\$59,745,276	\$51,644,845	\$51,644,845				
Cash/Sweeps C.	\$1,290,500	\$1,417,307	\$1,417,307	\$542,995	\$542,995				
Cash/Sweeps H.	\$499,056	\$460,977	\$460,977	\$377,510	\$377,510				
<b>Cash/Sweeps</b>	<b>\$54,416,256</b>	<b>\$61,623,560</b>	<b>\$61,623,560</b>	<b>\$52,565,350</b>	<b>\$52,565,350</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Money Market Accounts/Repos (A)	\$1,506,001	\$3	\$3	\$4	\$4				
Treasury Notes (B)				\$1,998,707	\$1,999,731				
Agency Securities (B)	\$5,261,731	\$5,257,803	\$5,253,422	\$4,755,708	\$4,713,257				
Mortgaged Backed Securities (B)	\$2,796,316	\$2,727,752	\$2,676,989	\$1,837,107	\$1,787,950				
Municipal Bonds (E)	\$2,704,809	\$3,640,310	\$3,650,617	\$14,155,766	\$14,055,173				
Corporate Bonds (D)	\$2,316,511	\$2,296,938	\$2,296,880	\$5,804,763	\$5,795,445				
<b>Total</b>	<b>\$69,001,624</b>	<b>\$75,546,366</b>	<b>\$75,501,471</b>	<b>\$81,117,405</b>	<b>\$80,916,910</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Endowment Funds</b>									
Cash/Sweeps	\$6,930,453	\$6,931,481	\$6,931,481	\$6,932,764	\$6,932,764				
Equity Securities (C)	\$7,650	\$7,650	\$13,869	\$7,650	\$13,599				
Mutual Funds	\$49,429,471	\$49,873,028	\$53,508,849	\$50,616,414	\$53,065,832				
<b>Total</b>	<b>\$56,367,574</b>	<b>\$56,812,159</b>	<b>\$60,454,199</b>	<b>\$57,556,828</b>	<b>\$60,012,195</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Grand Total</b>	<b>\$125,369,198</b>	<b>\$132,358,525</b>	<b>\$135,955,670</b>	<b>\$138,674,233</b>	<b>\$140,929,105</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>LSU HCSD</b>									
<b>Current Funds</b>									
Cash/Sweeps	\$43,641,215	\$43,602,414	\$43,602,414	\$78,215,039	\$78,215,039				
<b>Total</b>	<b>\$43,641,215</b>	<b>\$43,602,414</b>	<b>\$43,602,414</b>	<b>\$78,215,039</b>	<b>\$78,215,039</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Other Funds</b>									
Cash/Sweeps	\$3,943,346	\$4,083,997	\$4,083,997	\$4,592,416	\$4,592,416				
Money Market Accounts/Repos (A)	\$37,512,662	\$40,071,008	\$40,071,008	\$8,478,647	\$8,478,647				
Equity Securities (C)	\$464,950		\$432,909		\$447,690				
US Gov Related Securities	\$16,295	\$13,812	\$13,812	\$11,455	\$11,455				
<b>Total</b>	<b>\$41,937,253</b>	<b>\$44,168,817</b>	<b>\$44,601,726</b>	<b>\$13,082,518</b>	<b>\$13,530,208</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Grand Total</b>	<b>\$85,578,468</b>	<b>\$87,771,231</b>	<b>\$88,204,140</b>	<b>\$91,297,557</b>	<b>\$91,745,247</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>LSU - Shreveport</b>									
<b>Current Funds *</b>									
Cash/Sweeps	(\$17,866)	\$6,900,303	\$6,900,303	\$3,170,256	\$3,170,256				
Money Market Accounts/Repos (A)	\$501,707	\$24	\$24	\$100,213	\$100,213				
Certificates of Deposit	\$100,213	\$100,213	\$100,213						
<b>Total</b>	<b>\$584,054</b>	<b>\$7,000,540</b>	<b>\$7,000,540</b>	<b>\$3,270,469</b>	<b>\$3,270,469</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Endowment Funds **</b>									
Cash/Sweeps	\$0	\$0	\$0	\$0	\$0				
<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Other Funds</b>									
Cash/Sweeps	\$285,231	\$285,231	\$285,231	\$0	\$0				
<b>Total</b>	<b>\$285,231</b>	<b>\$285,231</b>	<b>\$285,231</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Grand Total</b>	<b>\$869,285</b>	<b>\$7,285,771</b>	<b>\$7,285,771</b>	<b>\$3,270,469</b>	<b>\$3,270,469</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>System Total</b>	<b>\$847,837,044</b>	<b>\$905,954,303</b>	<b>\$923,712,027</b>	<b>\$843,794,161</b>	<b>\$845,701,190</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

\* LSUS has deposited \$5,076,767 with LSU A&M Campus for investment purposes. Also, negative balance in the cash/Sweeps section of the current funds does not represent an actual negative bank balance rather it represents a timing difference of the allocation of the current funds.

\*\* Small endowment funds at LSUS were moved to the LSUS Foundation to accommodate Workday.

**BENCHMARK NOTES (Example Only)**

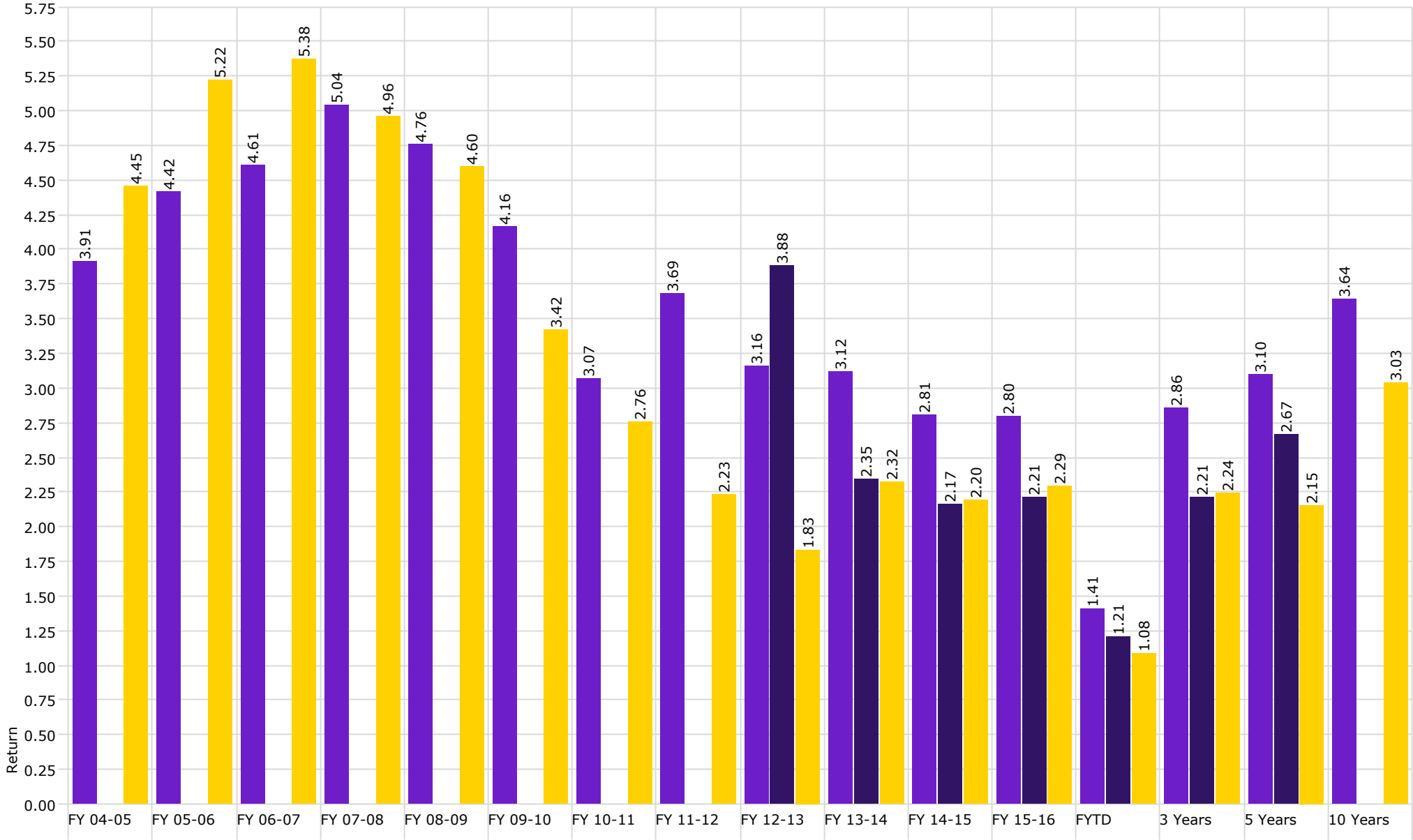
- (A) Benchmarked against 90 day T-Bill
- (B) Benchmarked against Barclay's US Agg Bond TR USD
- (C) US equities benchmarked against Russell 3000 and international against MSCI emerging markets
- (D) Benchmarked against XYZ
- (E) Benchmarked against XYZ

Disclaimer: Pursuant to PM-9, corporate bonds/notes only available for investment beginning 7-1-2011. Louisiana law provides for restrictions on maturity and allocation and may effect benchmark comparisons.



# Investment Management Program Non Endowed Accounts **Realized Yield**

As of 12/31/2016



■ Non Endowed

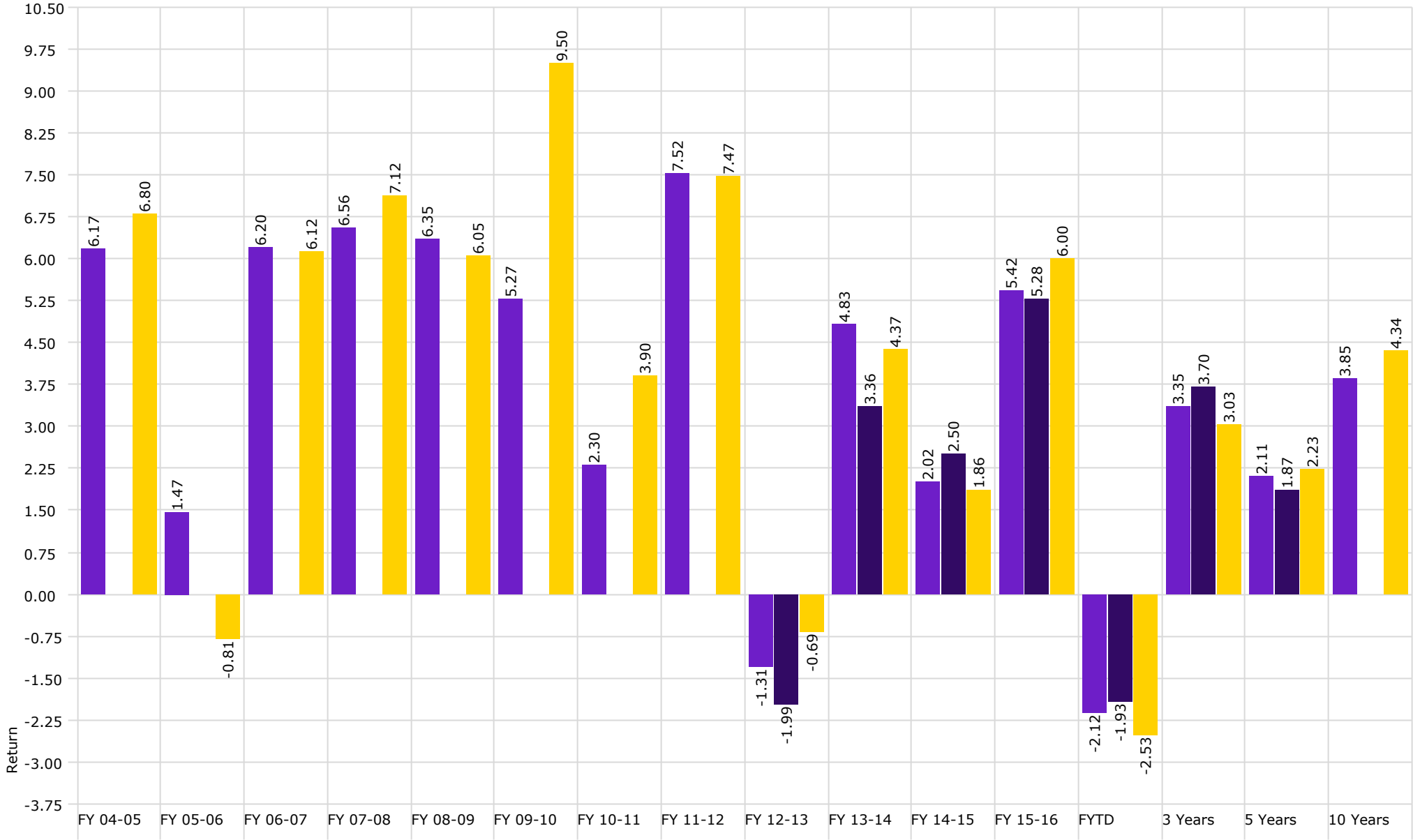
■ Health Plan

■ Barclays Aggregate Bond Index



# Investment Management Program Non Endowed Accounts **Total Return**

As of 12/31/2016



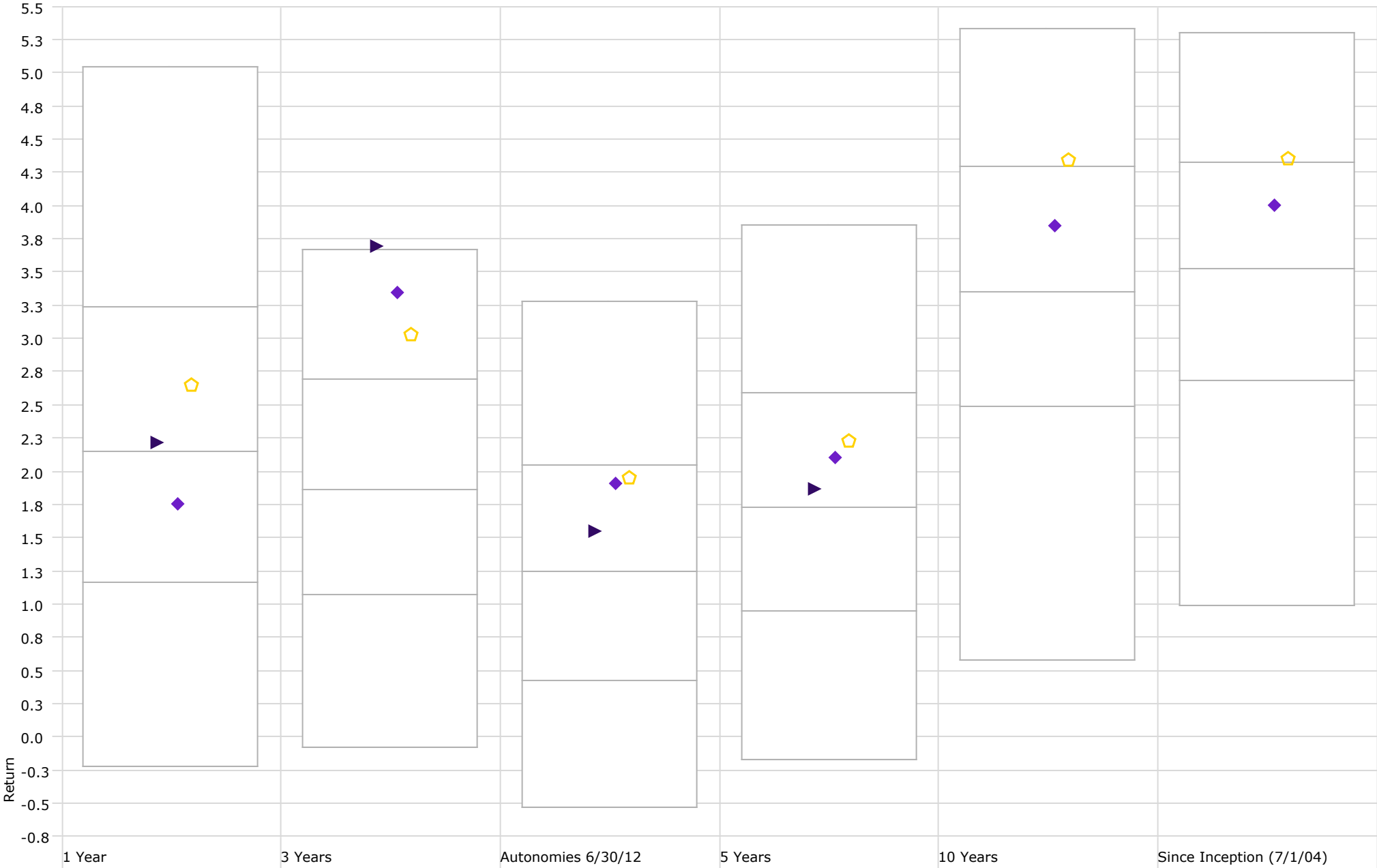
Non Endowed

Health Plan

Barclays Aggregate Bond Index

# Performance Relative to Peer Group (Total Return)

Peer Group (5-95%): All Managed Investments - U.S. - Intermediate-Term Bond



◆ Non Endowed

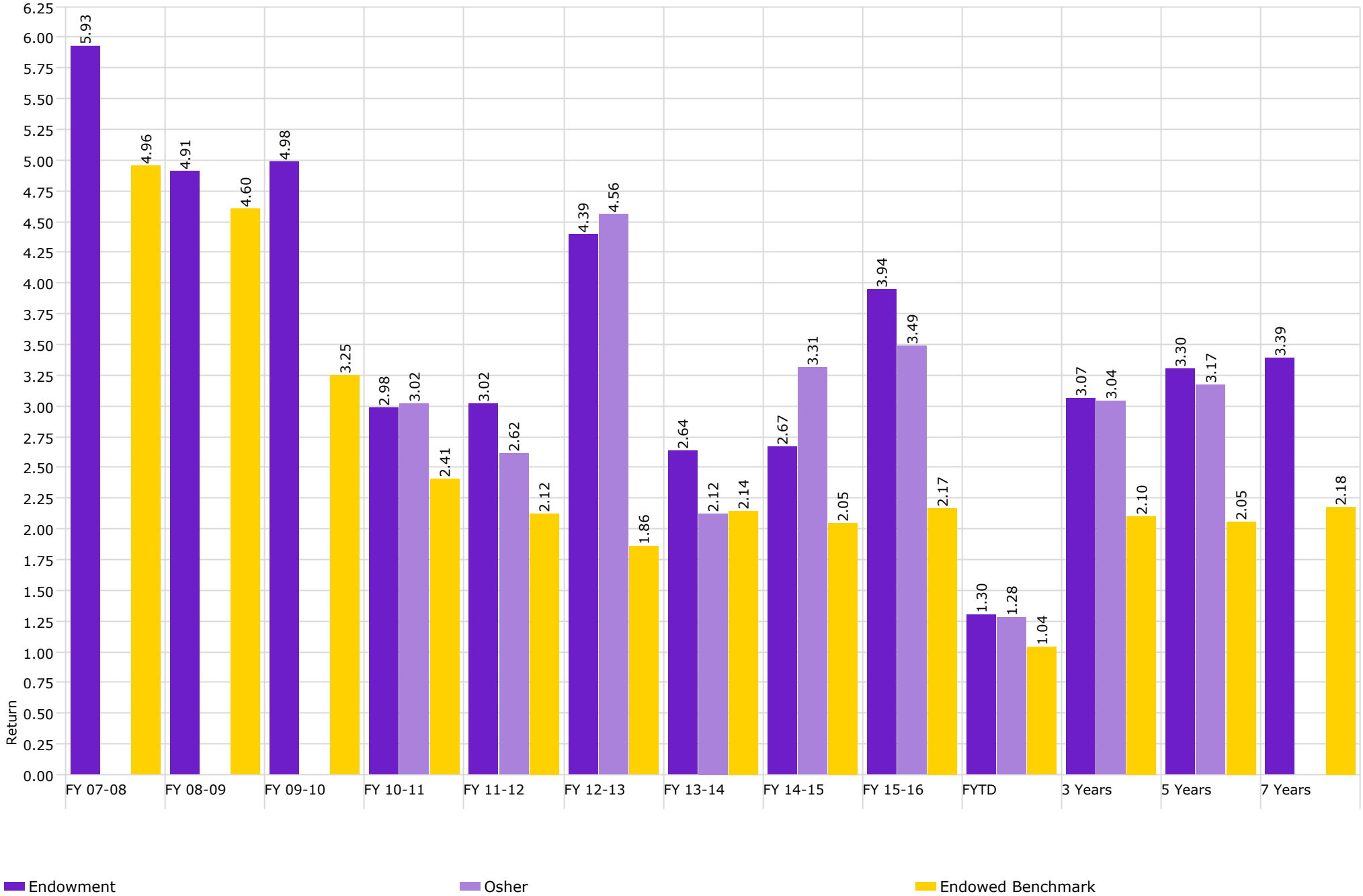
▶ Health Plan

⬠ Barclays Aggregate Bond Index



# Investment Management Program Endowed Accounts **Realized Yield**

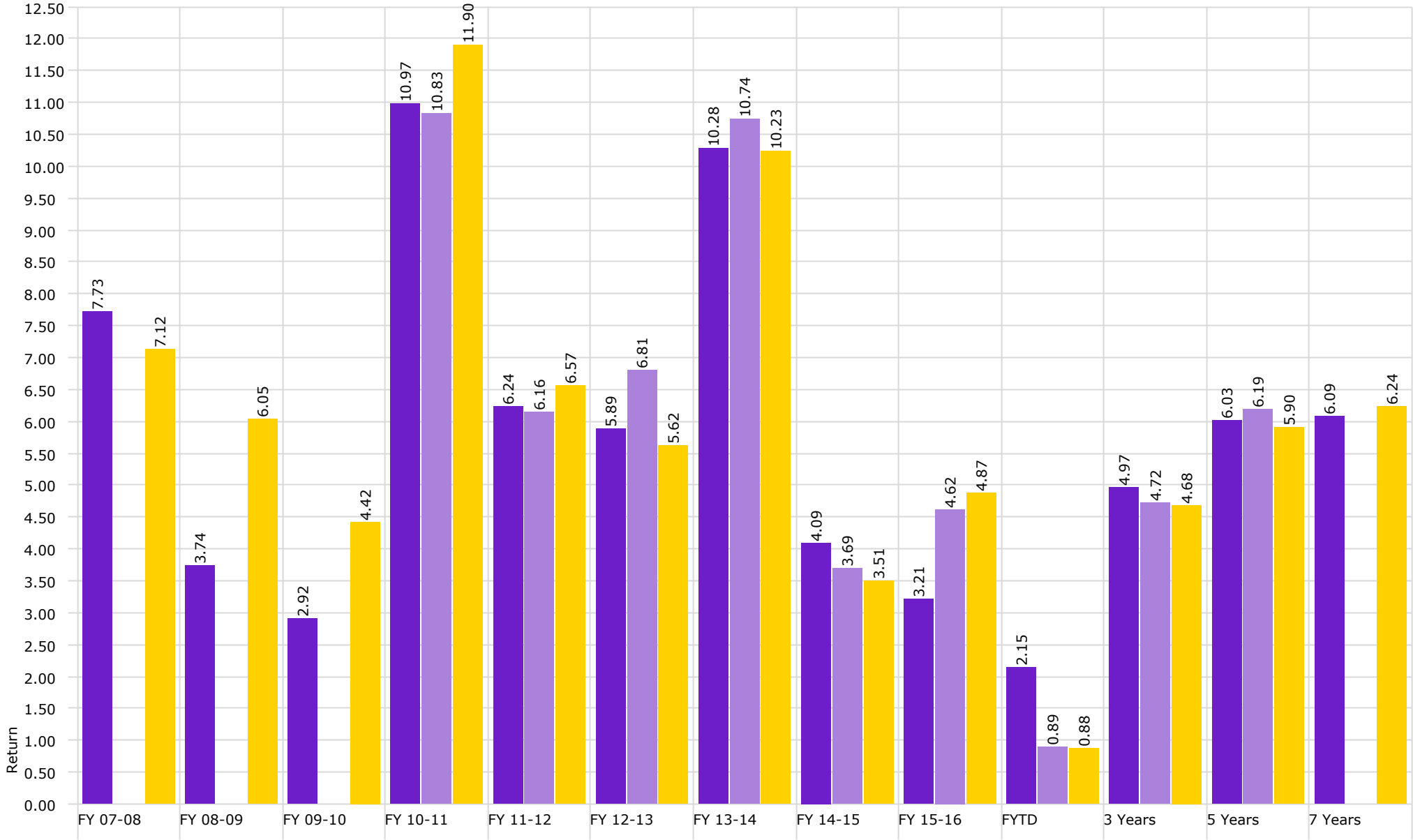
As of 12/31/2016





# Investment Management Program Endowed Accounts **Total Return**

As of 12/31/2016



Endowment

Osher

Endowed Benchmark